

PTO/SB/08A (08-03)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/849168
				Filing Date	05/04/01
				First Named Inventor	Phil Delurgio
				Group Art Unit	3623
				Examiner Name	Susanna M. Meinecke Diaz
				Attorney Docket Number	DT.0101
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2/3} (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
SMD		6826538	11-30-2004	Kalyan et al.	
		20020116348	08-22-2002	Phillips et al.	
		6731998	05-04-2004	Walser et al.	
		6934931	08-23-2005	Plumer et al.	

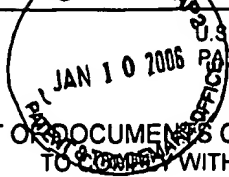
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner Signature	<i>S. M. D. G. 3</i>	Date Considered	4/10/06
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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 <p>LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56</p>	ATTY. DOCKET NO. DT-0101	SERIAL NO. 09/849,168
	APPLICANT NEAL	
	FILING DATE 05/04/2001	GROUP 3623

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SMD	AA	6,965,867	11/15/05	Jameson			
	AB	6,910,017	06/21/05	Woo et al.			
	AC	6,546,387	04/08/03	Triggs			
	AD	2002/0198794	12/26/02	Williams et al.			
	AE	2002/0123930	09/05/02	Boyd et al.			
	AF	2002/0107819	08/08/02	Ouimet			
	AG	6,405,175	06/11/02	Ng			
	AH	2002/0023001	02/21/02	McFarlin et al.			
	AI	5,615,109	03/25/97	Eder			
	AJ	5,521,813	05/28/96	Fox et al.			
	AK	5,212,791	05/18/93	Damian et al.			
	AL	4,744,026	05/10/88	Vanderbei			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

SMD	BA	Barth, Brad, "Shopko Tests Automated Markdowns", WWD 10/04/00, pages 1-3.
	BB	Cook, Martie, "Optimizing Space and Sales with Markdown Software", Office.com, 05/31/2000, page 1.
	BC	"Essentus and Spotlight Solutions Partnership Delivers Precise Markdown Decisions", Business Wire, 04/17/00, 3 pages.
	BD	Melcer, Rachel, "Local Tech Firm Creates Retail Markdown Tool", Business Courier online, 03/24/00, pages 1-4.
	BE	Technology Strategy Incorporated, www.grossprofit.com , 03/02/00, pages 1-20.
	BF	Kadiyali et al., "Manufacturer-retailer Channel Interactions and Implications for Channel Power: An Investigation of Pricing in Local Market", Marketing Science, Spring 2000, V. 19, Issue 2.
	BG	Andrew B. Gelman et al., "Bayesian Data Analysis", pgs. 439-455, Chapman & Hall/CRC, First Edition 1995, Reprinted 2000.
	BH	Hillier, Frederick S., et al., "Introduction to Operations Research", McGraw-Hill, Inc., 1995, Sixth Edition, pages 1-14.

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S. Ditz

DATE CONSIDERED

4/10/06

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SMD		20020042739	04-11-2002	Srinivasan et al.	
SMD		20020123930	09-05-2002	Boyd et al.	

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SMD ↓ V	AA	Smith et al. "A Discrete Optimization Model for Seasonal Merchandise Planning." Journal of Retailing, vol. 74, no. 2, page 193 (29), Summer 1998.	
	AB	Barth, Brad. "ShopKo Holds the Price Line." Daily News Record, page 10, October 4, 2000	
	AC	"Essentus and Spotlight Solutions Partnership Delivers Precise Markdown Decisions." Business Wire. Page 1444. April 17, 2000.	
	AD	"Manugistics Agrees to Acquire Talus Solutions." PR Newswire, page NA, September 21, 2000.	
	AE	"Goodyear Implements Trilogy's MultiChannel Pricing Solution as its Enterprise-Wide E-Pricer Platform." Business Wire, page 2286, June 27, 2000.	
	AF	"IMRglobal Signs New Product Implementation Agreement with Retek to Improve Retail Pricing and Markdown Process." Business Wire, page 1590, June 7, 2000.	
	AG	"New Tools for Modeling Elasticity, Optimizing Prices and Collecting Live Pricing from theWeb to Debut at Retail Systems 2000 in Chicago." Business Wire, page 1484, April 18, 2000.	
	AH	"KhiMetrics and Retek Form Marketing Alliance for Comprehensive Retail Pricing Solution." PR Newswire, page NA, February 19, 2001.	
	AI	"KhiMetrics Helps Retailers Increase Margins With Two New Tools for Their Retail Revenue Management Application Suite." PR Newswire, March 1, 2001.	

Examiner Signature	S. Meinecke	Date Considered	4/6/06
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